

January 2004

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ACTIVATORS OF LUMINESCENCE IN SPELEOTHEMS AS SOURCE OF MAJOR MISTAKES IN INTERPRETATION OF LUMINESCENT PALEOCLIMATIC RECORDS

Y. Y. Shopov

Abstract

This work summarizes the main results of the operation of the International Program “Luminescence of Cave Minerals” of the commission on Physical Chemistry and Hydrogeology of Karst of UIS of UNESCO in the field of activators of speleothem luminescence. It discusses Activators of Luminescence in Speleothems as a source of major mistakes in the interpretation of luminescent paleoclimatic records. It demonstrates the existence of 6 types of luminescence of speleothems and cave minerals in dependence of the type of the luminescence center and its incorporation in the mineral. 24 different activators of photoluminescence of speleothem calcite and 11 of aragonite are studied. This paper demonstrates that it is impossible to produce reliable Paleotemperature or Past Precipitation records from luminescence of speleothems without establishing the organic origin of the entire luminescence of the particular sample.

Keywords: luminescence, speleothems, paleoclimate.

Introduction

Absorption of excitation energy by a mineral leads to rising of electrons from ground state to an excited level. Sooner or later these electrons falls down to a lower level while emitting light. If the emission proceeds only during the excitation than it is called “fluorescence”, if it proceeds later (usually seconds or minutes) than it is called “phosphorescence”. In the later case falling of electrons from the excited state proceeds through intermediate levels (thus taking more time), so the energy of the emitted light is less than the energy of fluorescence (i.e. colour of the emitted light is shifted to the red). Some luminescent centers produce only fluorescence, but other both fluorescence and phosphorescence of minerals.

The type of luminescent centers determines the colour of luminescence. Colour may vary with changes of the excitation sources, because they may excite different luminescent centers existing in the mineral. Every luminescent center has its own excitation spectra Shopov, 1986), temperature dependence and conditions of excitation. One colour of luminescence sometimes may be produced by a single luminescent center or by a combination of two or several centers. The decay rate of luminescence (time for visible disappearance of the luminescence afterglow after switching off the excitation source) may vary from virtual zero for fluorescence to minutes or hours for phosphorescence. It is also characteristic for every luminescent center. Brilliance (brightness) of luminescence is function of the concentration of luminescence centers. It is almost linearly proportional

to concentration of luminescent centers in transparent or white calcite, but can be substantially decreased by light absorption in colour centers of clay and other coloured inclusions or colour admixture ions in less-pure calcite.

Easiest and the most efficient method of excitation is irradiation by UV light sources producing photoluminescence and when luminescence is usually spoken about it is with this kind of excitation in mind. Phosphorescence of speleothems in caves can be seen by irradiating of speleothems with a photographic flash with closed eyes, with following rapid opening of the eyes after flashing. This simple technique is useful for the previous diagnostics of cave mineral and the selection of samples for laboratory analysis. Such "Visual Luminescent Analysis" (VLA) has been widely used in caves (TARCUS - CSSR, 1981), usually with a photographic flash but also with other simple devices such as portable UV lamps with short wave UV (SWUV) and long wave UV (LWUV). However data obtained by the VLA method are subjective and the determination of luminescence activators is not possible. In fact attempts to determine activators of the luminescence with VLA and chemical analysis leads to incorrect results.

It is known that almost 50 cave minerals have the capacity for exhibiting luminescence, but only 17 had been actually observed to be luminescent in speleothems so far (Shopov, 1997). This paper summarizes main results of the operation of the International Program "Luminescence of Cave Minerals" of the commission on Physical Chemistry and Hydrogeology of Karst of UIS of UNESCO in the field of activators of speleothem luminescence (Shopov 1989a).

Origin of luminescence of Speleothems

Many speleothems exhibit luminescence when exposed to ultraviolet (UV) or other light sources. In dependence of the type of the luminescence center and its incorporation in the mineral we distinguish following types of luminescence of speleothems and cave minerals:

1. Luminescence of electron defects of the crystal lattice:

Such type is the luminescence of CO_3^{3-} ion in speleothem calcite under UV or electron beam excitation (Ugumory & Ikeya, 1980). It probably exists in any speleothem, but have lower quantum gain than the other types of luminescence in speleothems, so can be observed only in their absence. In cathodoluminescence petrography it is called "background luminescence". It is as intensive as older is calcite (Ugumory & Ikeya, 1980), because this center is produced only by ionising radiation from decomposition of natural radio- nuclides and have lifetime of millions of years. In ion crystals (such as chloride, fluorite or sulphide minerals) luminescence of this type is produced by admixtures of metal ions substituting the cations in the crystal lattice of the minerals. In this case the admixture cation must have different valency than the structural cations (Marfunin, 1979), so it cause compensation of the charge by trapping of free electrons or traps (which are activators of the luminescence of ion crystals).

2. Luminescence of admixture ions substituting structural ions in the crystal lattice or incorporated in cavities of this lattice:

Such type is the luminescence of most known luminescent centres in calcite, which are

inorganic ions: Mn^{2+} , Fe^{3+} , Pr^{3+} , Tb^{3+} , Er^{3+} , Dy^{3+} , Eu^{2+} , Eu^{3+} , Sm^{3+} and Ce^{3+} (Tarashtan, 1978, Marfunin, 1979, Gorobets, 1981, Shopov, 1986, Shopov et al., 1988, Richter et al., 2003). This type of luminescence increases its intensity with decreasing of the temperature. This kind of luminescence exhibits strong quenching by Fe^{2+} , Ni and Cu- ions substituting structural cations in the crystal lattice, which adsorb the luminescence emission and re-emit it in the infrared region of the spectra (Marfunin, 1979).

3. Sensitizes luminescence of admixture ions substituting structural ions in the crystal lattice:

Pb^{2+} have UV luminescence in calcite with no visible emission but it sensitizes the luminescence of Mn^{2+} , which produce short-time orange-red phosphorescence in hydrothermal calcites (Marfunin, 1979, Shopov, 1997). Such sensitized luminescence of these ions can be observed only if both they substitute a structural cation in the crystal lattice. Mn^{2+} in calcite does not have strong absorption lines in UV, so it does not exhibit luminescence in infiltration calcites. Pb^{2+} have very strong UV- absorption lines in calcite and transfer its excitation energy to Mn^{2+} through the crystal lattice. It produces strong orange-red phosphorescence of Mn^{2+} in calcite. This type of luminescence decreases its intensity with decreasing of the temperature, due to the reduction of the energy transfer through the temperature vibrations of the crystal lattice.

4. Luminescence of molecules, ions or radicals adsorbed inside of the lattice:

Such luminescence can be produced both by:

- a. inorganic (like uranyl ion- UO_2^{2+}) or
- b. organic molecules (Tarashtan, 1978, Shopov, 1986, Shopov et al., 1988, White and Brennan, 1989, Shopov, 1997, 2002). In some cases they both produce luminescence of the same speleothem (fig.1).

This type of luminescence decreases its intensity with decreasing of the temperature, because energy transfer through the crystal lattice became impossible at low temperatures.

Usually luminescence of organics in speleothems is attributed to fulvic and humic acids (White and Brennan, 1989) but free acids could not exist in the alkaline karst environment. They react with the limestone producing their calcium salts in which form they exist in speleothems. The process of their chemical extraction from speleothems in order to study them converts them in free fulvic and humic acids.

Luminescence organics in speleothems can be divided to 4 types:- (1) Calcium salts of Fulvic acids, (2) Calcium salts of humic acids, (3) Calcium salts of huminomelanic acids (Shopov, 1997) and (4) Organic esters (Gilson et al., 1954). All these four types are usually present in a single speleothem with hundreds of chemical compounds with similar chemical behavior, but of different molecular weights. Concentration distribution of these compounds (and their luminescence spectra) depends on type of soils and plants over the cave, so the study of luminescent spectra of these organic compounds can give information about paleosoils and plants in the past (White, Brennan, 1989). Changes in visible colour of luminescence of speleothems suggesting major changes of plants society are observed very rare.

5. Luminescence of inclusions of other minerals:

Inclusions of other luminescent minerals can produce luminescence inside calcite speleothems. Most frequently these are inclusions of moon milk minerals. Such is also the green-yellow luminescence of magursilite clusters (Tarashtan, 1978) in speleothem calcite (Shopov, 1989b).

6. Luminescence of fluid or gas inclusions

Gas inclusions containing oil and gas products (hydrocarbons) had been observed to produce blue fluorescence and phosphorescence in speleothem calcites from Gaudalupe Mts., USA under SWUV or flash excitation (Shopov, 2001), but orange fluorescence under LWUV excitation.

All six types of luminescence centers are observed to produce luminescence of speleothem calcites under UV excitation.

Different types of excitation may excite different luminescent centers. Some or all of them may produce luminescence in a single speleothem (Shopov, 1997, 2001, Richter et al., 2003).

Activators of Luminescence as Source of Mistakes in Interpretation of Luminescent Paleoclimatic Records

Recently some researchers attribute all luminescence in calcite speleothems to organics (e.g. Baker et al, 1993) without any reason to do so. But 14 (58% of all known) activators of speleothem luminescence are inorganic. Minerals are not pure chemical substances and contain many admixtures. Usually several centres activate luminescence of one sample (table 1) and the measured spectrum is a sum of the spectra of two or more of them (fig.1). Luminescence of minerals formed at normal cave temperatures (below 40° C) is usually (but far not always) due mainly to molecular ions and absorbed organic molecules. Luminescence of uranyl- ion (UO_2^{2+}) is also very common (fig.1) in such speleothems (Shopov, 2001). Luminescence of other inorganic ions sometimes dominate luminescence spectrum of speleothems.

Before 1983 all luminescence in calcite speleothems was attributed to inorganic ions (Kropachev et al. 1971, Mitsaki, 1973, Slacik, 1977, Turnbull, 1977, Ugumory & Ikeya, 1980, Rogers and Williams 1982, Hill and Forti, 1986)

All paleoenvironmental luminescence (paleoluminescence) methods (Shopov, 2004) use only luminescence of organics in Speleothems. Therefore it is necessary to determine that all luminescence of the sample is due to organics before using a speleothem for any paleo environmental work. Detailed spectral measurements of the luminescence are absolutely necessary to determine luminescent compounds in any speleothem. This requires the use of a luminescence spectrometer, plus an Electron Spin Resonance (ESR) spectrometer or chromatograph (Shopov, 1989a,b). Lasers and Raman spectrometers used for measurements of luminescent spectra allow also determination of the luminescent mineral or inclusion in the speleothem, because the narrow Raman lines appearing in luminescence spectra at high resolution scanning are characteristic for different minerals.

In many calcite speleothems all or a significant part of the luminescence is produced by

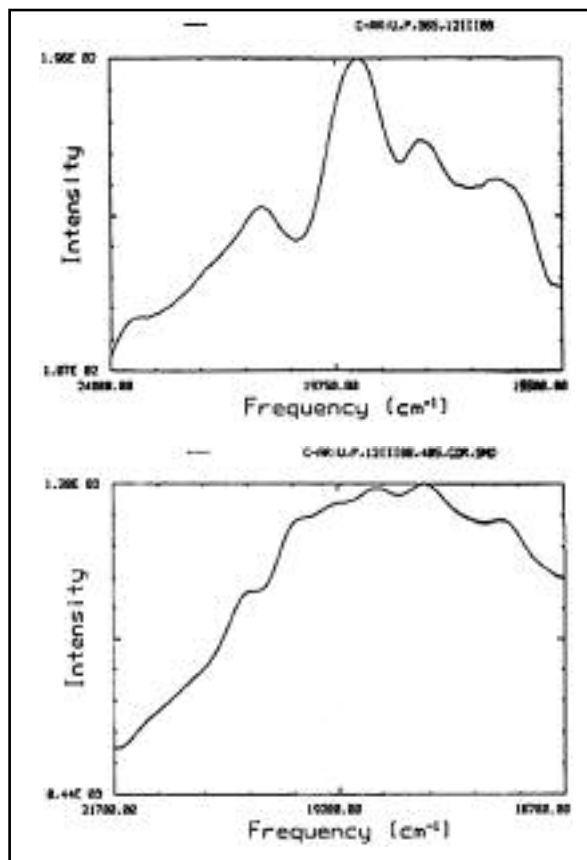


Figure 1. Luminescence of speleothem calcite under excitation by 365 nm (up) and 405 nm (down) lines of Hg- lamp. The narrow lines of luminescence in both spectra are produced by uranyl- ion (UO_2^{2+}) while the broadband luminescence is due to organics. UV excitation of UO_2^{2+} is far more efficient than this of organics so it predominates in the spectra at 365 nm excitation.

inorganic ions (Shopov, 1986, Shopov et al., 1988). Sometimes they even have annual banding (photo 1) due to variations of acidity of the karst waters, causing variations of the solubility of some inorganic luminophores (Shopov, 1997). Uranium compounds have such migration behavior. We found some speleothems demonstrating fine fluorescence banding produced by uranium impurities in the speleothem (photo 1) under short-wave UV light (Shopov, 2002). Fine fluorescence banding under long-wave UV light is produced by rare earth elements in the same sample. This banding can be annual or even sub- annual. Such luminescence banding is usually considered to be annual (if produced by organics) and have a number of paleoclimatic and dating applications (Shopov et al., 1997). Phosphorescence of this sample (not shown) suggests that there are no any luminescent organics in the middle (darker) part of the speleothem, but there are some in the outer part of the sample.

Statements that Sr causes violet luminescence of carbonate speleothems (e.g. Kropachev et al. 1971), Zn greenish- white luminescence of calcite stalactites (Turnbull, 1977) and Cu- causes pale-green and blue luminescence of calcite and aragonite (e.g. Rogers and Williams 1982) are in error. Sr and Zn- ions do not have electron transitions in the visi-

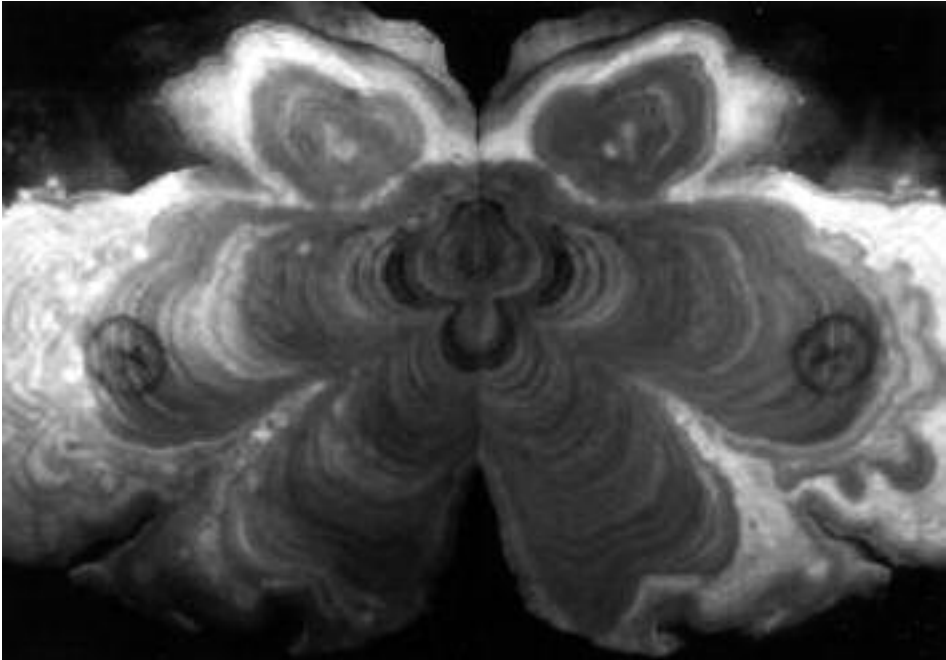


Foto 1. Annual (?) banding of luminescence of uranyl-ion and rare earth elements in a calcite flowstone due to variations in pH of the water (Luminescence of a section of a calcite coralloid from a cave near Irkutsk, Russia). Fine fluorescence banding under short-wave UV light (left) is produced by uranium impurities (UO_2^{2+}) in the speleothem. Fine fluorescence banding under long-wave UV light (right) is produced by rare earth elements in the same sample (right and left images are mirror images of the same sample). Photo by Yavor Shopov.

ble region of the spectra and therefore cannot activate luminescence in carbonates, but Cu is known to cause quenching (reduction of luminescence) induced by other cations (Tarashtan 1978). Cu^{2+} can excite only infrared luminescence of some sulfides. Also, interpretations of the visible luminescence of calcite as Pb-activated (Slacik, 1977) are not correct, because Pb in calcite emits only UV light (Tarashtan 1978, Shopov et al. 1988). Such wrong interpretations had been obtained by correlation of the intensity of luminescence with the concentration of these elements in speleothems without proper measurements of spectra of their luminescence.

Luminescence of the high-temperature hydrothermal minerals is due mainly to cations because molecular ions and molecules destruct at high temperatures. The orange-red luminescence of Mn^{2+} in calcite (table 1) sensitized by Pb^{2+} can be observed only in hydrothermal calcite, because Pb^{2+} has very big ion radius and can substitute Ca^{2+} in the crystal lattice of calcite only at high temperatures, so it can be used as an indicator of the hydrothermal origin of the cave mineral (Shopov, 1989 a, b). Therefore, if calcite has only orange-red, short time phosphorescence, it is sure to have formed in high-temperature, hydrothermal solutions ($>300^\circ\text{C}$). But if it has long-time phosphorescence in addition to the red-orange one, then it is a low-temperature hydrothermal calcite (Shopov,

Table 1. Activators of Luminescence of Speleothems

Luminescence activator	Excitation	Emission color	Afterglow	Origin	Reference
Calcite:					
1.Esters	Hg-lamp	blue	long	infiltration	Gilson et al. (1954)
2.Organics,	N ₂ -Laser	blue	long	infiltration	Shopov et al. (1983)
3.Calcium salts of Fulvic & humic acids	Ar-L., Xe	yellow-green	long	infiltration	Shopov et al. (1989)
4.Fulvic& humic acids	SWUV	blue-green	long	infiltration	White, Brennan (1989)
5.Organics	Ar-L., Xe -lamp	blue-green	long	infiltration	Shopov (1989b)
6.Organics	N ₂ -Laser	yellow-green	long	infiltration	Shopov (1989b)
7.Organics	LWUV, Hg	yellow	long	infiltration	Shopov (1989b)
8.Organics	SWUV, LWUV	yellow-orange	long	infiltration	White, Brennan (1989)
9. CO ₃ ³⁻	N ₂ -Laser	blue		infiltration	Ugumory, Ikeya (1980)
10.UO ₂ ²⁺	SWUV	green	no	infiltration	White, Brennan (1989)
11.UO ₂ ²⁺	N ₂ -L., Hg -lamp	green	no	infiltration	Shopov (1989b)
12.*UO ₂ ²⁺ (magursilite?)	N ₂ -L., Hg -lamp	green- yellow	no	infiltration	Shopov (1989b)
13.Organics	Hg, Xe -lamp	bluish	<15s	hydrothermal	Dublyansky (in press)
14. *Mn ²⁺	Ar-L, N ₂ -L., Xe	orange-red	0.1s	h-t.hydrothermal	Mitsaki,1973;White,1974
15. *Hydrocarbons	Xe -flash lamp	violet	long	epithermal	Shopov et al (1996)
16.Fe ³⁺	Ar- Laser	dark- red		? hydrothermal	Shopov, 1988
17.Pb ²⁺	SWUV	UV		hydrothermal	Shopov, 1985
18-24*. Rare Earth Elements ³⁺ -ions	LWUV, SWUV electrons	various		?	Shopov, 1985, 1988 Richter (2002)
Aragonite:					
25.Organics	Hg-lamp	blue	long	infiltration	Shopov (1989b)
26.Organics	Hg-lamp	blue-green	long	infiltration	Shopov (1989b)
27.Organics	N ₂ -Laser	blue-green	long	infiltration	Shopov (1989b)
28.Organics	N ₂ -Laser	green	long	infiltration	Shopov (1989b)
29.Organics	Hg-lamp	yellow	long	infiltration	Shopov (1989b)
30.Organics	SWUV, LWUV	blue-green	long	infiltration	White, Brennan (1989)
31. UO ₂ ²⁺	SWUV	green	no	infiltration	White, Brennan (1989)
32. ?	Hg (LWUV)	orange	?	?	White, Brennan (1989)
33.Mn ²⁺	LWUV, e-beam	yellow-green	short	?	Shopov, 1988
34.Sm ³⁺	LWUV, e-beam	red		?	Shopov, 1985
35.Eu ²⁺	LWUV, e-beam	blue		?	Shopov, 1988

Comments to table 1:

- * - luminescence of Rare Earth elements in calcite is well described in (Tarashtan, 1978, Shopov, 1986, Shopov et al., 1988, Richter, 2002), so is not included in the table
- *12- Tarashtan (1978) attributed this spectrum of luminescence to luminescence of clusters of the mineral magursilite adsorbed in calcite;
- *14- also in (Shopov et al., 1988, White and Brennan 1989)
- *15 - hydrocarbons present only in fluid inclusions in calcite, formed 1 km below the surface by waters heated by Earth thermal gradient (epithermal solutions) in a cave in Carlsbad Caverns region. Guadeloupe Mts., New Mexico, US (Shopov et al., 1996)

1989a,b). Calcites formed by low-temperature hydrothermal solutions have fluorescence or short-life phosphorescence due to cations and long phosphorescence due to molecular ions (Gorobets, 1981). Minimal temperature of appearance of this orange-red luminescence was estimated to be of about 40°C by Dublyansky (in press) by fluid inclusion analysis in hydrothermal cave calcites, but our direct measurements of luminescence of calcites in hot springs shows that even at 46°C such luminescence do not appear (Petrusenko et al., 1999). It probably appears at over 60°C. Luminescence of hydrothermal calcite formed at lower temperatures looks similar to usual speleothem luminescence. Such luminescence data visualize the changes of the temperature of mineral forming solutions and are comparable with the stable isotope data used conventionally for this purpose (Bakalowicz et. al., 1987, Ford et al., 1993).

Conclusions

Before using of any speleothem for paleoenvironmental luminescence measurements it is necessary to determine that all luminescence of the sample is due to organics. Otherwise interpretation of the data can be completely wrong and there is no way to prove or disapprove it without further measurements on the same sample to establish the organic nature of all its luminescence.

Acknowledgements

This research was funded by Bulgarian Science Foundation by research grant 811/98 to Y. Shopov

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